

# Enhanced Photoelectrochemical Water Splitting Performance of Anodic TiO<sub>2</sub> Nanotube Arrays by Surface Passivation

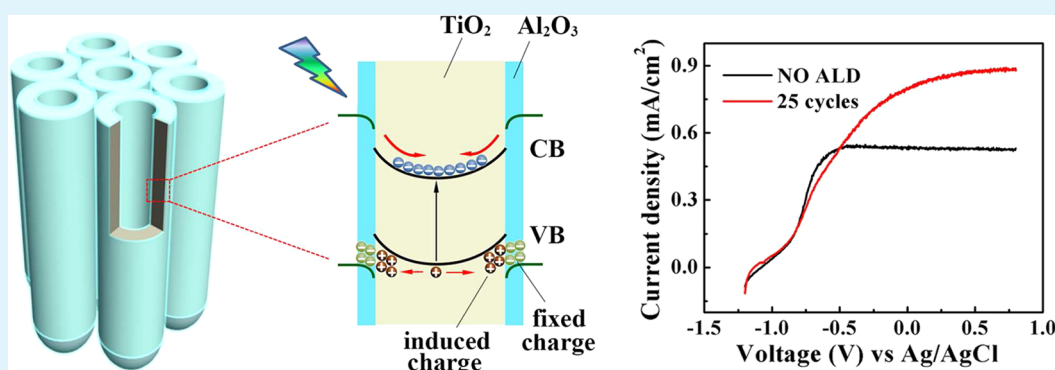
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**ABSTRACT:** One-dimensional anodic titanium oxide nanotube (TONT) arrays provide a direct pathway for charge transport, and thus hold great potential as working electrodes for electrochemical energy conversion and storage devices. However, the prominent surface recombination due to the large amount surface defects hinders the performance improvement. In this work, the surface states of TONTs were passivated by conformal coating of high-quality Al<sub>2</sub>O<sub>3</sub> onto the tubular structures using atomic layer deposition (ALD). The modified TONT films were subsequently employed as anodes for photoelectrochemical (PEC) water splitting. The photocurrent (0.5 V vs Ag/AgCl) recorded under air mass 1.5 global illumination presented 0.8 times enhancement on the electrode with passivation coating. The reduction of surface recombination rate is responsible for the substantially improved performance, which is proposed to have originated from a decreased interface defect density in combination with a field-effect passivation induced by a negative fixed charge in the Al<sub>2</sub>O<sub>3</sub> shells. These results not only provide a physical insight into the passivation effect, but also can be utilized as a guideline to design other energy conversion devices.

**KEYWORDS:** TiO<sub>2</sub> nanotubes, charge recombination, surface passivation, atomic layer deposition, photoelectrochemical water splitting

## INTRODUCTION

Self-organized anodic titanium oxide nanotube (TONT) films have been considered one of the most promising candidates in photovoltaic devices,<sup>1,2</sup> electrochromic windows,<sup>3</sup> photocatalysts,<sup>4,5</sup> and energy storage devices<sup>6,7</sup> due to their unique electrochemical, optical and electrical properties. As for the application in photoelectrochemical (PEC) water splitting, the solar-to-hydrogen conversion efficiency is mainly determined by efficient light absorption and charge transport. The improved light absorption capability has been demonstrated by a series of strategies including narrowing the bandgap<sup>8</sup> and geometry engineering.<sup>9,10</sup> The tubular structure of TONT, providing a direct pathway for electrons and ions transport, is beneficial for the efficient charge transport. However, the

dilemma is that the PEC efficiency of TiO<sub>2</sub> electrodes is largely limited by the poor conductivity and charge carrier recombination. Recently, hydrogenated TiO<sub>2</sub> with induced oxygen vacancies has been extensively investigated, because it delivers substantially enhanced electrical conductivity<sup>6</sup> and photoresponse mainly in the UV region.<sup>9,11,12</sup> Because of the unique anodizing process, the substantial oxygen vacancies (i.e., Ti<sup>3+</sup> donor states) known as the energetic disorder<sup>13</sup> (e.g., localized states in the band gap) are the main source of charge recombination in TONT.<sup>14</sup> The Ti<sup>3+</sup> interstitials at the surface

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octahedrally coordinated to O, forming the Ti–O dangling bonds,<sup>15</sup> account for the surface trap sites. Then, the prominent electron–hole recombination loss caused by surface defect sites turns out to be one of the major obstacles in improving the PEC efficiency of TONT. Depressed electron–hole recombination has been realized by employing sacrificial electron donors, such as methanoic acid<sup>16</sup> and ethylene glycol,<sup>17</sup> in the electrolytes. However, a feasible method to passivate surface states without continuously supplying sacrificial agents is still highly desired.

High- $\kappa$  dielectrics, such as  $\text{Al}_2\text{O}_3$ ,  $\text{SiO}_2$ , and  $\text{HfO}_2$ , have shown excellent surface passivation properties on crystalline Si solar cells<sup>18,19</sup> and other electronic devices.<sup>20</sup> Recently, the surface passivation processes were introduced to electrochemical energy conversion devices,<sup>21–23</sup> with the aim of reducing surface charge recombination. In the case of PEC water splitting, surface passivation with assistance of atomic layer deposition (ALD) has been employed on  $\text{Al}_2\text{O}_3/\text{Fe}_2\text{O}_3$ ,<sup>22</sup>  $\text{Al}_2\text{O}_3/\text{WO}_3$ ,<sup>24</sup> and  $\text{TiO}_2/\text{TiO}_2$ <sup>21</sup> electrodes showing promising results. However, few studies have systematically addressed the effect of deposition temperature and thickness of ALD  $\text{Al}_2\text{O}_3$  on the PEC performance and the exact role of surface passivation. In this work, we investigate the PEC performances of TONT electrodes with ALD coated  $\text{Al}_2\text{O}_3$  overlayers deposited at different temperatures and processing cycles. ALD coating delivered an enhanced photocurrent density by 1.8 times (0.5 V vs Ag/AgCl, 3 M KCl) with respect to the pristine TONT electrodes. The improved performances of TONT electrode is ascribed to the reduction of the electron–hole recombination because of the decreased interface defect density in combination with a field-effect passivation induced by a negative fixed charge in the  $\text{Al}_2\text{O}_3$  shells.

## EXPERIMENTAL SECTION

**Fabrication of TONTs.** Ti foils (99.7%, 0.2 mm thickness) were ultrasonically cleaned in acetone, ethanol, and deionized (DI) water successively for 10 min, respectively. All the TONT films were prepared by two-step anodization in an ethylene glycol electrolyte containing 0.3 wt %  $\text{NH}_4\text{F}$  and 2 vol %  $\text{H}_2\text{O}$  under 20 °C, as described in our previous literature.<sup>1,6</sup> In brief, the first-step anodization was performed at 60 V for 1 h in a conventional two-electrode configuration with a carbon rod as cathode electrode. The as-obtained TONT films were removed from the Ti foil by ultrasonication in deionized water for 10 min. The second-step anodization was carried out for 1 h under the same conditions. The as-prepared TONT samples were crystallized in ambient air at 150 °C for 2 h, then up to 450 °C for 3 h.

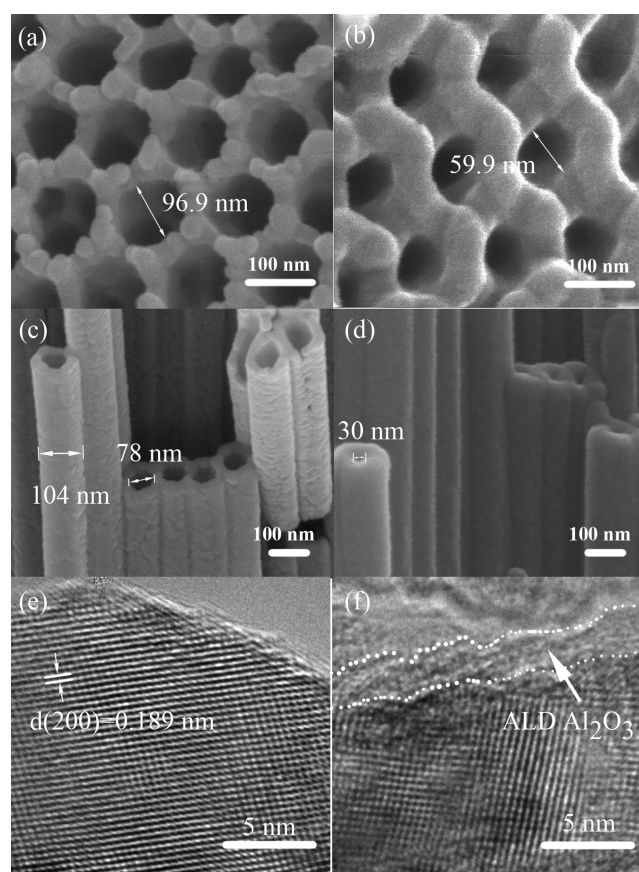
**ALD  $\text{Al}_2\text{O}_3$  Shell Deposition.** The TONT samples were cleaned with DI water followed by drying in  $\text{N}_2$  gas before ALD deposition.  $\text{Al}_2\text{O}_3$  coatings on TONTs were performed with SUNALER-200 at different temperatures (100, 200, 300, and 400 °C) using trimethylaluminum ( $\text{Al}(\text{CH}_3)_3$ ) and DI water as the precursors. The number of ALD cycles was varied from 10 to 200 with a growth rate of  $\sim 1.04$  Å/cycle.

**Characterization.** The morphology of nanotube films were characterized by field-emission scanning electron microscope (FESEM, FEI Quanta 600) and high resolution transmission electron microscope (HRTEM, JEM-2100F). PEC water splitting performances of the TONTs and TONTs with  $\text{Al}_2\text{O}_3$  shells (denoted as TONT-A-cycle number) electrodes with active area of 1  $\text{cm}^2$  were evaluated by AUTOLAB PGSTAT302N/FRA2 in a three-electrode system at 25 °C using a Ag/AgCl (3 M KCl) reference electrode and a platinum wire counter electrode. Photocurrents were measured using a 300 W xenon lamp coupled with an AM 1.5 filter (PLS-SXE300/300UV) with 1 M potassium hydroxide (KOH) aqueous solution as supporting electrolyte. The incident photon-to-current conversion efficiencies

(IPCE, DC mode) were measured in the above-mentioned three-electrode configuration by an AUTOLAB electrochemical station with the assistance of a commercial spectral response system (QEX10, PV Measurements). To acquire the stable photoresponse from photoanodes, we held each wavelength for 3 min before recording the photocurrent. Room temperature photoluminescence (PL) spectra of TONT electrodes were measured using a UV–vis spectrophotometer (iHR550), where a pulsed laser at 365 nm with an average power of 1 mW (150 fs, 80 MHz) was used as the excitation source.

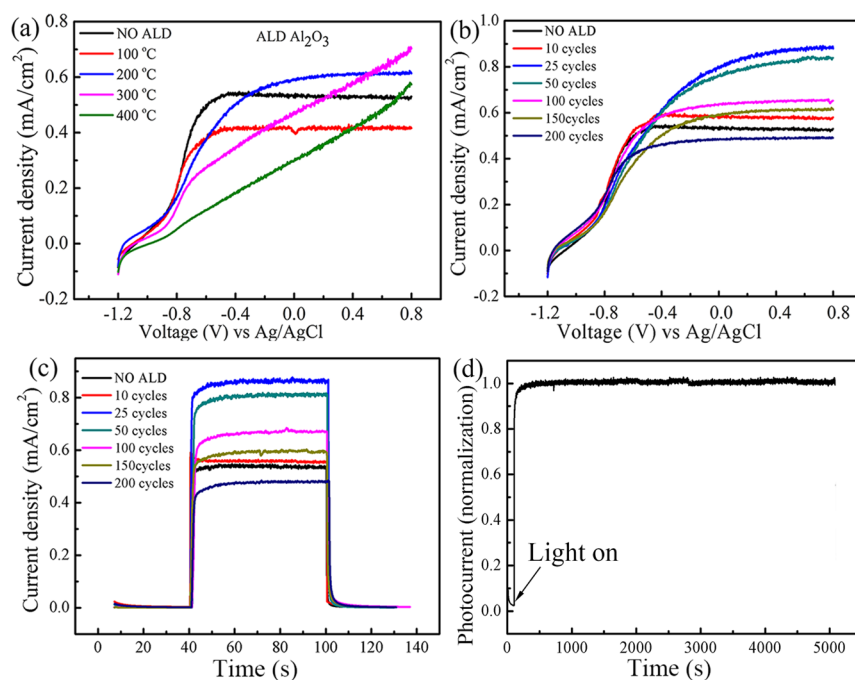
## RESULTS AND DISCUSSION

ALD method has been long considered as a chemical thin film deposition technique with precisely controlled conformal coating inside high aspect ratio nanochannels<sup>25</sup> and complex 3D nanoscaled structures.<sup>26</sup> Figure 1a–d shows the top and



**Figure 1.** (a and b) Top and (c and d) cross-sectional SEM images of (a and c) TONT and (b and d) TONT-A-180. TEM images of (e) TONT and (f) TONT-A-25. Note: the ALD processing temperature is 200 °C.

cross-sectional SEM images of TONT and TONT-A films. For easy characterization, the SEM observation is conducted on the TONT-A with 180 cycles of ALD coating (TONT-A-180). It can be seen that the inner diameter of TONTs becomes narrower (from 96.9 to 59.9 nm) after  $\text{Al}_2\text{O}_3$  deposition. Note that the inner diameter of the tube decreases gradually from top to bottom due to the chemical etching effect,<sup>1</sup> as can be seen in Figure 1a,c. The inner diameter is further decreased from  $\sim 78$  to  $\sim 30$  nm after ALD deposition (Figure 1d). These results indicate that the inner surfaces are conformally coated by  $\text{Al}_2\text{O}_3$  along the high aspect ratio nanotubes, which confirms that ALD is a powerful research tool for creating highly conformal layers on nanostructured electrodes. Figure 1e,f shows the HRTEM



**Figure 2.** (a) Linear sweeps voltammetry (LSV) curves of (black) pristine TONT electrodes and TONT-A electrodes processed under (red) 100 °C, (blue) 200 °C, (magenta) 300 °C, and (green) 400 °C. (b) LSV curves and (c) photocurrent responses of TONT and TONT-A electrodes with different ALD coating cycles in 1 M KOH solution under the illumination of a 300 W Xe lamp coupled with an AM1.5 filter. (d) Longtime stability of TONT-A-25 electrode by recording the photocurrent for 5000 s in 1 M KOH aqueous solution under an applied potential of 0.5 V vs Ag/AgCl and an illumination of a 300 W Xe lamp.

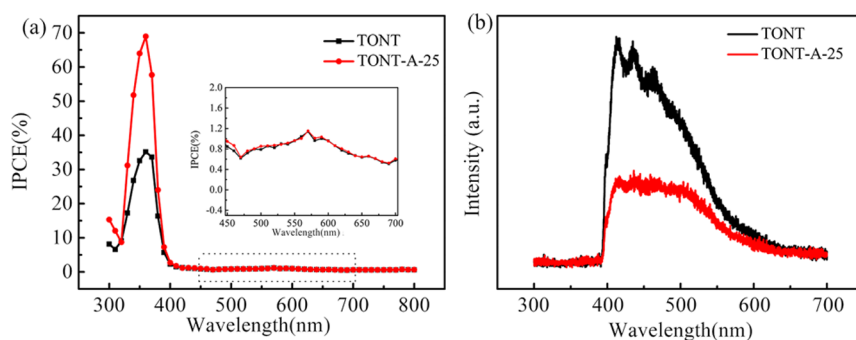
images of TONT and TONT-A-25 films, from which the well-resolved (200) lattice fringes ( $d = 0.189$  nm) can be seen in both samples, indicating the well-crystallized structure. The overlayer (labeled by a white arrow in Figure 1f) indicates the amorphous characteristics of  $\text{Al}_2\text{O}_3$  shell with an average thickness of about 2.6 nm.

The electrical properties of composite electrodes are strongly dependent on the ALD deposition temperature and film thickness.<sup>21,27</sup> The PEC performance of TONT-A as a function of processing temperatures of 100, 200, 300, and 400 °C is first investigated on the electrodes with 150 cycles coating. It was found that the photocurrent is strongly correlated with the deposition temperature. After ALD at 100 °C, the photocurrent of the electrode decreases compared with that of the pristine TONT (Figure 2a), while the photocurrent increases up to 0.6  $\text{mA}/\text{cm}^2$  (0.5 V vs Ag/AgCl) at 200 °C. As the temperature further increases to 300 and 400 °C, the PEC performances of TONT-A electrodes tend to decrease even worse than that of the pristine TONT electrode. As for the TONT-A electrode deposited at 100 °C, the inferior PEC performance may be ascribed to the positive charges located at the interface,<sup>27</sup> which somehow expels the holes to the bulk and facilitates its recombination with electrons, whereas there are negative charges located at the interface when deposited at 200 °C or higher,<sup>27</sup> which facilitates accumulated holes at the nanotube surface and creates a highway for electrons to migrate to the cathode. On the other hand, the deposition temperature also affects the composition of the ALD shell, which mainly consists of  $\text{AlHO}_x$ .<sup>28,29</sup> It has been reported that hydrogen from the  $\text{Al}_2\text{O}_3$  bulk could diffuse and provide chemical passivation at the interface, thereby reducing defect-related recombination.<sup>28</sup> Studies have also shown that as deposition temperature increases higher than 200 °C, the concentration of hydrogen atoms decreases, and consequently, chemical passivation

become weaker.<sup>29</sup> This could interpret the optimized deposition temperature of 200 °C.

The PEC performance of TONT-A electrodes are subsequently investigated by varying the ALD cycles at 10, 25, 50, 100, 150, and 200 cycles under 200 °C. Figure 2b,c represents the LSV curves and photocurrent responses at a constant potential of 0.5 V (vs Ag/AgCl) as a function of  $\text{Al}_2\text{O}_3$  shell thickness, respectively. TONT-A-10 electrode shows a slight increase in photocurrent compared to that of the pristine TONT electrode (Figure 2b). The photocurrent achieves a maximum value of 0.9  $\text{mA}/\text{cm}^2$  (0.5 V vs Ag/AgCl) for the TONT-A-25 electrode, which is 0.8 times higher than that of the pristine TONT electrode. However, as the shell thickness continues to increase, the photocurrent begins to decrease gradually and is even less than that of pristine TONT when coating for 200 ALD cycles. The trend of photocurrent responses in Figure 2c mirrors that of the LSV plots. The inferior performance of the TONT-A-10 sample, compared with the TONT-A-25 electrode, may be ascribed to the incomplete coverage of the dangling bonds on the surface of TONT. After 25 cycles of deposition, most of surface trap sites are believed to be covered, resulting in the highest photocurrent. When the number of cycles is greater than 25 (50–200 cycles), the photocurrent decrease, revealing that the thickness of alumina has exceeded the tunneling thickness ( $\sim 2$  nm)<sup>30</sup> and thereby leads to a decrease in PEC performance than that of the TONT-A-25. The following measurements will be performed on TONT-A-25 and pristine TONT electrodes.

The thermodynamic instability of  $\text{Al}_2\text{O}_3$  in base electrolyte will determine the practical applications. Sivula and co-workers have reported the  $\text{Fe}_2\text{O}_3$  electrode covered by an ALD  $\text{Al}_2\text{O}_3$  layer, which displayed stable behavior in 1 M KOH for more than 30 min under an applied potential of 1.03 V vs RHE and 1 sun illumination.<sup>22</sup> Herein, long-time stability of the TONT-A



**Figure 3.** (a) IPCE spectra of TONT and TONT-A-25 electrode in the range of 300–800 nm at 0 V (vs Ag/AgCl); (inset) magnified IPCE spectra at wavelengths of 430–700 nm, as highlighted in dashed box. (b) Photoluminescence (PL) spectra of TONT and TONT-A-25.

electrode is also tested by recording the photocurrent for 5000 s in 1 M KOH aqueous solution (pH = 13.6) under an applied potential of 0.5 V (vs Ag/AgCl) and an illumination of a 300 W Xe lamp. It can be observed in Figure 2d that the TONT-ALD electrode is stable for the entire duration of characterization. The result further demonstrates that the ALD of  $\text{Al}_2\text{O}_3$  is sufficiently stable in long-time application under harsh water splitting conditions.

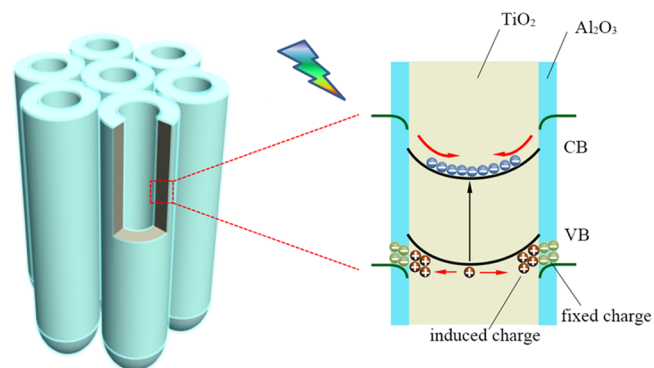
IPCE measurements were carried out to investigate the contribution of each monochromatic light to the photocurrent density. Compared with the measurements based on the wide band light source with the absence of reliable calibration, the intensity-independent IPCE provides a reliable method to characterize the wavelength dependent photoresponse. The IPCE is calculated as a function of wavelength using  $\text{IPCE} = ((1240 \text{ mW}\cdot\text{nm}/\text{mA})I)/(\lambda J_{\text{light}})$ , where  $\lambda$  is the incident light wavelength (nm), and  $I$  and  $J_{\text{light}}$  are the photocurrent density ( $\text{mA}/\text{cm}^2$ ) and incident light irradiance ( $\text{mW}/\text{cm}^2$ ) at a specific wavelength, respectively.<sup>11</sup> Figure 3a shows the IPCE plots of TONT and TONT-A-25 at a zero bias vs Ag/AgCl reference electrode. The results indicate that the enhanced photocurrent is mainly contributed by UV light response. The ALD coating of  $\text{Al}_2\text{O}_3$  shell gives rise to a pronounced enhancement of IPCE in the whole UV region (300–400 nm) with a maximum of 70% at 360 nm. A broad band weak photoactivity of pristine TONT nanotube in 400–600 nm could be ascribed to fluorine doping during anodization in  $\text{NH}_4\text{F}$  containing electrolytes.<sup>21,31</sup> In addition, the coating independent visible light response indicates the surface passivation contributes little on the interband transition.

To further investigate the role of ALD coating on the PEC performance, we carried out the photoluminescence (PL) experiments with a 365 nm pulsed laser as excitation source. PL spectra are often used to study the surface processes involving electron–hole recombination of semiconductors.<sup>32</sup> The broad-band emission around 410 nm, as shown in Figure 3b, can be assigned to the recombination of photoexcited holes with electrons occupying the singly ionized oxygen vacancies in  $\text{TiO}_2$ .<sup>33</sup> Apparently, the PL intensity of the TONT-A-25 sample decreases remarkably compared with pristine TONT, which can be ascribed to the reduction of the recombination process after the  $\text{Al}_2\text{O}_3$  coating.<sup>34</sup>

Because of the unique fabrication method of TONT, titanium interstitials on the surface due to titanium excess and F anions doping<sup>14</sup> are usually surrounded by distorted O octahedral forming the Ti–O dangling bonds,<sup>15</sup> which act as charge carrier traps and recombination centers, while the ALD layer of  $\text{Al}_2\text{O}_3$  can effectively decrease the defect states by

saturation of the dangling bonds and thus increases the PEC performance of the corresponding electrodes.<sup>28,35</sup>

In addition to the chemical passivation effect, a strong field-effect passivation by shielding the minority carriers from the semiconductor interface plays an important role in the *p*-type crystalline Si passivation mechanism.<sup>19</sup> The built-in electric field attributed to the presence of a high negative fixed charge density is originated from the intrinsic (Al vacancies and O interstitials) and extrinsic (interstitial H) defects in  $\text{Al}_2\text{O}_3$ .<sup>18,36</sup> Indeed, the presence of negative fixed charge in  $\text{Al}_2\text{O}_3$  can also benefit for the surface passivation of *n*-type TONT electrode for PEC application. Figure 4 shows a schematic of the field-



**Figure 4.** Schematic diagram of the field-effect passivation by  $\text{Al}_2\text{O}_3$  coating. (Left) Illustration of the structure of the (tan) TONT deposited with (powder blue)  $\text{Al}_2\text{O}_3$  shell. (Right) Energy band diagram of TONT coated with  $\text{Al}_2\text{O}_3$  shell, where VB and CB are the valence and conduction bands, respectively. Under UV irradiation, photogenerated holes are trapped at the surface due to the presence of the negative charges located in the  $\text{Al}_2\text{O}_3$  film, leaving behind unpaired electrons in the center of tube wall.

effect passivation in the presence of  $\text{Al}_2\text{O}_3$  layer. Under UV light illumination, the photogenerated holes present in the  $\text{TiO}_2$  valence band will be transferred to the interface between  $\text{TiO}_2$  and ALD layer because of the presence of the negative charges that localized in the  $\text{Al}_2\text{O}_3$  film close to the interface with  $\text{TiO}_2$ . The as-formed electron depletion at the nanotube surface induces surface band bending and further suppress the electrons from capturing by the surface trap states, as shown in right panel of Figure 4. Such coupled mechanisms (chemical passivation and field effect passivation) will spatially separate the photogenerated electron–holes with suppressed photo-carrier recombination and dramatically prolonged carrier lifetimes. The unpaired electrons would migrate to the cathode

through the nanotube array's backbone to participate in the water reduction reaction with much suppressed recombination.

## CONCLUSIONS

In conclusion, the PEC performances of TONT electrodes with ALD-coated  $\text{Al}_2\text{O}_3$  overlayers have systematically been functions of processing temperature and deposition cycle. The optimized ALD coating delivered an enhanced photocurrent density by 0.8 times with respect to the pristine TONT electrodes, mainly contributed by the remarkably increased photoresponse in the UV region. The improved performances of TONT-A electrode is ascribed to the reduction of the electron-hole recombination because of the decreased surface defect density in combination with field-effect passivation induced by a negative fixed charge in the  $\text{Al}_2\text{O}_3$  shells. The mechanism insight gained from this study, with tunable electronic properties of nanoscaled materials, would provide a guideline for both high-performance photoelectrochemical and optoelectronic devices.

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### Notes

The authors declare no competing financial interest.

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